



*1/for*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/715,417 Confirmation No.: 5850  
Applicant : Eivind Per Thor STRATEN et al.  
Filed : November 19, 2003  
Title : SURVIVIN-DERIVED PEPTIDES AND USE THEREOF  
TC/Art Unit : 1646  
Examiner: : Unassigned

Docket No. : 60820.000004  
Customer No. : 21967

**REQUEST FOR CORRECTED FILING RECEIPT**

**ATTN: Office of Initial Patent Examination's  
Filing Receipt Corrections**

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of a Corrected Filing Receipt mailed September 22, 2004 from the U.S. Patent and Trademark Office in the above-captioned application for which issuance of a second corrected Filing Receipt is respectfully requested. The requested correction is indicated in red ink.

Specifically, the name of the Assignee, as noted, is incorrect, *i.e.*, "BioVision A/S," and should be **Survac Aps**, as indicated in the attached copy of the executed Assignment. Accordingly, it is respectfully requested that a corrected Filing Receipt be issued reflecting the requested correction.

It is believed that no fee is due in connection with this Request. However, if it is determined otherwise, the Commissioner is hereby authorized to charge such fees to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: November 24, 2004

By: 

Robert C. Lampe, III  
Registration No. 51,914

Hunton & Williams LLP  
Intellectual Property Department  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
Telephone (202) 955-1500  
Fax (202) 778-2201



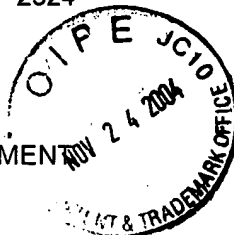
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/715,417	11/19/2003	1644	2324	60820.000004	16	49	1

21967

HUNTON & WILLIAMS LLP  
INTELLECTUAL PROPERTY DEPARTMENT  
1900 K STREET, N.W.  
SUITE 1200  
WASHINGTON, DC 20006-1109



CONFIRMATION NO.: 5850

## CORRECTED FILING RECEIPT



\*OC000000013864726\*

Date Mailed: 09/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Eivind Per Thor Straten, Hvidovre, DENMARK;  
Mads Hald Andersen, Hellerup, DENMARK;

## Assignment For Published Patent Application

~~BioVision A/S~~ SURVAC APS

Power of Attorney: The patent practitioners associated with Customer Number 21967.

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/354,090 01/30/2003  
which claims benefit of 60/352,284 01/30/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 01/20/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/715,417**

Projected Publication Date: Not Applicable

Non-Publication Request: No

DOCKETED  
9-23-04

60820.4  
SEP 23 2004  
3271

Early Publication Request: No

Title

Survivin-derived peptides and use thereof

Preliminary Class

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

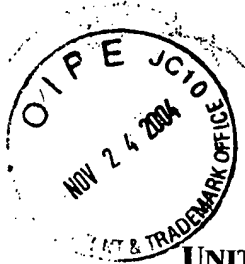
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



SAA/RCL

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 09, 2004

PTAS



\*102751198A\*

HUNTON & WILLIAMS LLP  
STANISLAUS AKSMAN  
INTELLECTUAL PROPERTY DEPARTMENT  
1900 K STREET, N.W., SUITE 1200  
WASHINGTON, DC 20006-1109

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/19/2004

REEL/FRAME: 015342/0800  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 60820.000004

ASSIGNOR:  
STRATEN, EIVIND PER THOR

DOC DATE: 12/08/2003

ASSIGNOR:  
ANDERSEN, MAD S HALD

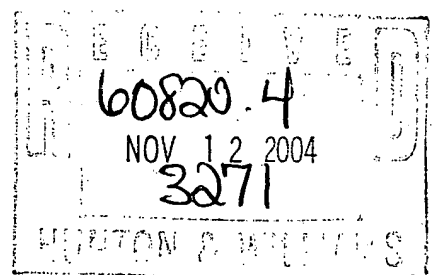
DOC DATE: 12/08/2003

ASSIGNEE:  
SURVAC APS  
ESTHERSVEJ 27, ST. TV.  
2900 HELLERUP, DENMARK

SERIAL NUMBER: 10715417  
PATENT NUMBER:  
TITLE: SURVIVIN-DERIVED PEPTIDES AND USE THEREOF

FILING DATE: 11/19/2003  
ISSUE DATE:

DOCKETED  
11/20/04



015342/0800 PAGE 2

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## MAIL STOP ASSIGNMENT RECORD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

102751198

Please record the attached original document(s) or copy(ies) thereof.

1. Name of Conveying Party(ies):

Eivind Per Thor STRATEN

Mads Hald ANDERSEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: **Survac Aps**  
 Address: **Esthersvej 27, St. tv.**  
**2900 Hellerup**  
 Country: **DENMARK**

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger ☐ Change of Name  
☐ Verified Translation ☐ Security Agreement ☐ Other: \_\_\_\_\_

Execution Date: **December 8, 2003**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

A. Patent Application No(s):**10/715,417**B. Patent No(s):Others on additional sheet(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Stanislaus Aksman**  
 Intellectual Property Department  
 Hunton & Williams LLP  
 1900 K Street, N.W.; Suite 1200  
 Suite 1200  
 Washington, DC 20006-1109  
 (202) 955-1500 (telephone)  
 (202) 778-2201 (facsimile)

6. Total number of applications and patents involved:

Application(s): 1  
 + Patent(s): \_\_\_\_\_  
 = Total: 1

7. Total Fee (37 C.F.R. § 3.41) . . . . \$ **40.00**

☒ Enclosed  
☐ Authorized to be charged to Deposit Account

8. Deposit Account No.: **50-0206**

(Duplicate copy of this sheet attached)  
☒ Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Robert C. Lampe, III, Reg. No. 51,914**

Name of Person Signing

Signature

**May 19, 2004**

Date

Total number of pages including cover sheet, attachments, and document:

**3**

05/21/2004 DBYRNE 00000033 10715417

01 FC:8021

40.00 DP

## ASSIGNMENT

In consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns unto

Survac Aps  
Esthersvej 27, St. tv.  
2900 Hellerup  
Denmark

(hereinafter referred to as the ASSIGNEE)

all right, title and interest for the United States, its territories and possessions in and to an invention entitled

### "SURVIVIN-DERIVED PEPTIDES AND USE THEREOF"

as set forth in United States Patent Application No. 10/715,417 filed 19 November 2003

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns in order to vest the entire right, title and interest to the said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of Hunton & Williams the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the United States Patent and Trademark Office for recordation of this Assignment.

Inventor: Eivind Per Thor STRATEN  
Residence: Hvidovre, Denmark  
Citizenship: Denmark

Place and date: Østerbro, 120803  
Signature: Eivind Per Thor Straten

Inventor: Mads Hald ANDERSEN  
Residence: Hellerup, Denmark  
Citizenship: Denmark

Place and date: Copenhagen, 120803  
Signature: Mads Hald Andersen